

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Takeshi AKATSU

Confirmation No.:

Application No.:

Group Art Unit:

Filing Date: Filed concurrently herewith

Examiner:

For: METHOD OF FABRICATION OF A  
SUBSTRATE FOR AN EPITAXIAL GROWTH

Attorney Docket No.: 4717-13000

**INFORMATION DISCLOSURE STATEMENT**

**Mail Stop PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, enclosed is a Form PTO-1449 listing two (2) references in chronological order. It is respectfully requested that the references be made of record in this application by the Examiner's completion and return of the attached Form PTO-1449.

No fee is believed to be due for the submission of these references. Should any fees be required, however, please charge such fees to Winston & Strawn LLP Deposit Account No. 50-1814.

Respectfully submitted,

April 20, 2004  
Date

E. Bradley Gould  
E. Bradley Gould (Reg. No. 41,792)  
For: Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN**

**Customer No. 28765**

(202) 371-5904

<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.:		APPLICATION NO.:	
					4717-13000		Not yet assigned	
					APPLICANT:			
					Takeshi AKATSU <i>et al.</i>			
					FILING DATE:		GROUP:	
					Filed concurrently herewith			
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6,039,803	3/2000	Fitzgerald et al.	117	89		
	AB	6,464,780 B1	10/2002	Mantl et al.	117	90		
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
<b>OTHER REFERENCES</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	AQ							
	AR							
	AS							
	AT							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								